

# Financial Institutions Insurance



## Financial Institutions Insurance Program Overview



### Who are our clients?

- 1940 Act firms
- Investment managers
- Mutual fund complexes
- Private equity
- Hedge funds
- Broker dealers

Common custodians of our clients include TD Ameritrade, Schwab, Fidelity and Pershing.

Our clients range from startups to firms with more than \$25 billion in AUM. If you're in the financial industry, we have insurance solutions for you.

### Our Experience:

The Uhl Agency has been providing insurance solutions since 1958.

Jon Kreuzsch, who spearheaded the agency's Financial Insurance Program in 2010, spent 12 years at an institutional RIA prior to joining The Uhl Agency. Jon understands how RIAs operate as well as the unique challenges they face. This experience has shaped him into a leading expert in this specialty area of insurance.

### How else is The Uhl Agency unique?

Due to our tremendous growth, The Uhl Agency is one of two agencies in the nation to have been awarded an ERISA/Fiduciary Fidelity Bond program that is 18%-30% less expensive than the rates typically charged by the major insurance companies writing this coverage.

There is very little difference – if any – from one ERISA/Fiduciary Fidelity Bond to another, so if you're paying more than \$0.70 per thousand of limit, you're paying too much. Contact us for additional details.

### Who We Are:

Started in 1958, The Uhl Agency is an independent insurance agency located in Dayton, Ohio. We specialize in writing executive liability lines of insurance for registered investment advisors, broker dealers, mutual funds complexes, private equity, and hedge funds.

The Uhl Agency recognizes every business is unique and that a proper business insurance program takes into account the individual needs of each business. We will work with you to develop a program that addresses your exposures while not breaking the bank during the process.